



## Background

- Convolution operator contains two components:
  - Learnable template (Kernel)
  - Similarity measure (inner product)
- Learning (modifying) the shape of kernel:
  - Dilated (atrous) convolution
  - Deformable convolution, Active convolution
- Learning (modifying) the similarity measure:
  - Hyperspherical convolution
  - Decoupled convolution
- Our work aims to generalize the current convolution operator by jointly learning both kernel shape and similarity measure.

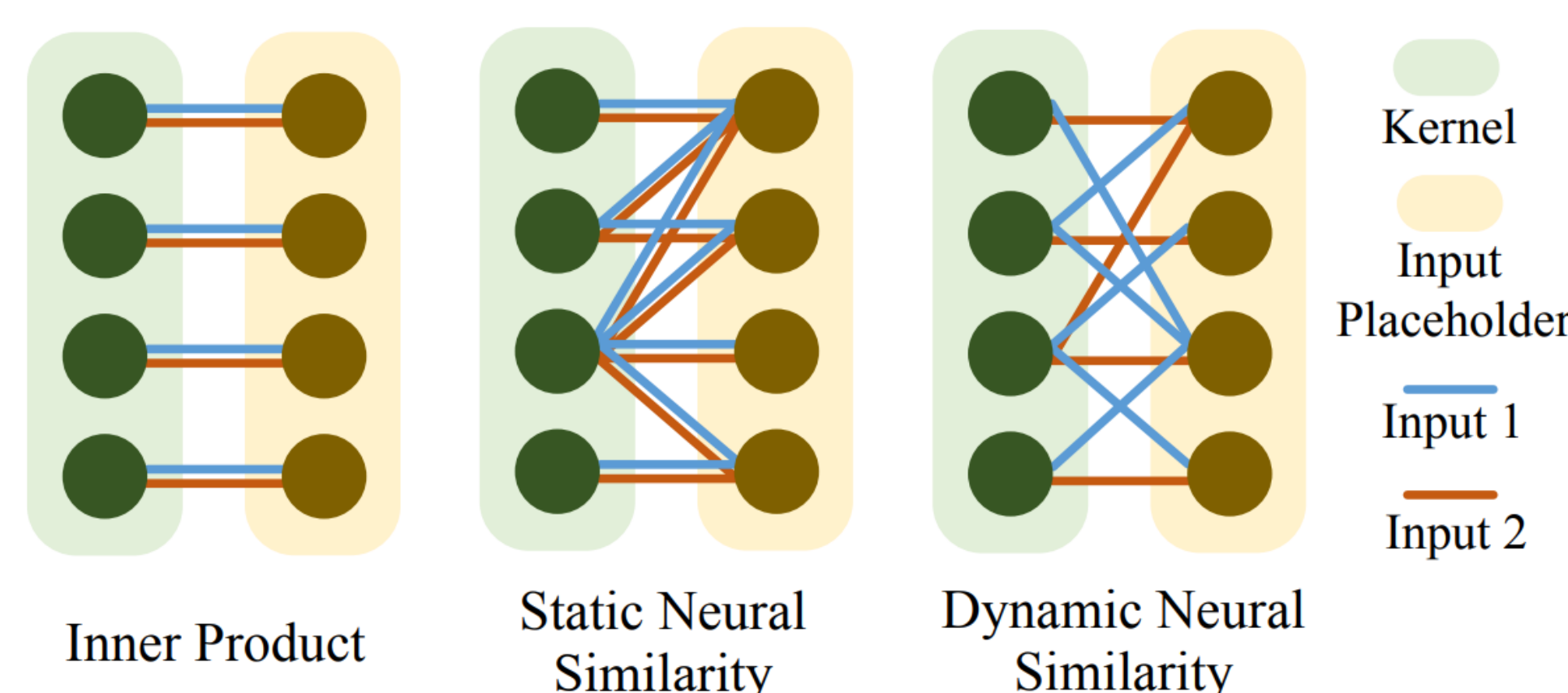
## Motivation

- Hand-designed inner-product based convolution is unlikely to be optimal for every task.
- Optimizing an underdetermined quadratic objective over a matrix  $W$  with gradient descent on a factorization of this matrix leads to an implicit regularization for the solution

## Main Contribution

- Neural similarity** generalizes the inner product via bilinear similarity.
- Neural similarity network** stacks convolution layers with neural similarity.
- Static** and **dynamic** learning strategies for the neural similarity.
- Significant performance gain in visual recognition and few-shot learning.

## High-level Comparison with Inner Product



- A line represents a multiplication operation and a circle denotes an element in a vector. Green color denotes kernel and yellow denotes input.

## Neural Similarity Learning

- Notation:
  - $\tilde{W}$ : a convolution kernel with size  $C \times H \times V$ .
  - $W = \{\tilde{W}_{1,:,:}^F, \tilde{W}_{2,:,:}^F, \dots, \tilde{W}_{C,:,:}^F\} \in \mathbb{R}^{CHV}$ : a flatten kernel.
  - $X$ : a flatten input patch.
- Generalizing convolution with bilinear similarity:

$$f_M(W, X) = W^\top M X$$

where  $M \in \mathbb{R}^{CHV \times CHV}$  denotes the bilinear similarity matrix.

- Constraining  $M$  to be block-diagonal:

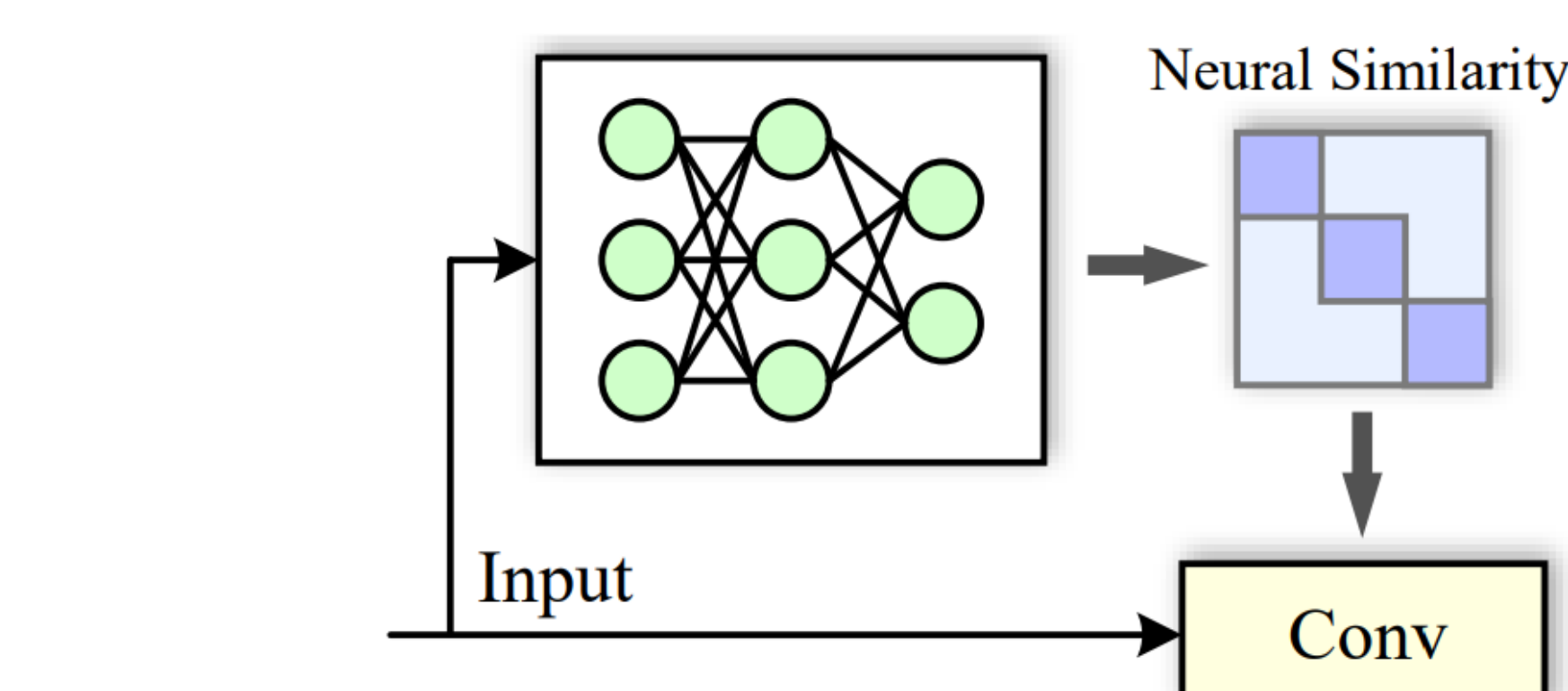
$$f_M(W, X) = W^\top \begin{bmatrix} M_s & & \\ & \ddots & \\ & & M_s \end{bmatrix} X$$

where  $M = \text{diag}(M_s, \dots, M_s)$  and  $M_s$  is of size  $HV \times HV$ . Note that, hyperspherical convolution becomes a special case of this bilinear formulation if  $M$  is a diagonal matrix with diagonal being  $\frac{1}{\|W\|_1 \|X\|_1}$ .

## Learning Static Neural Similarity

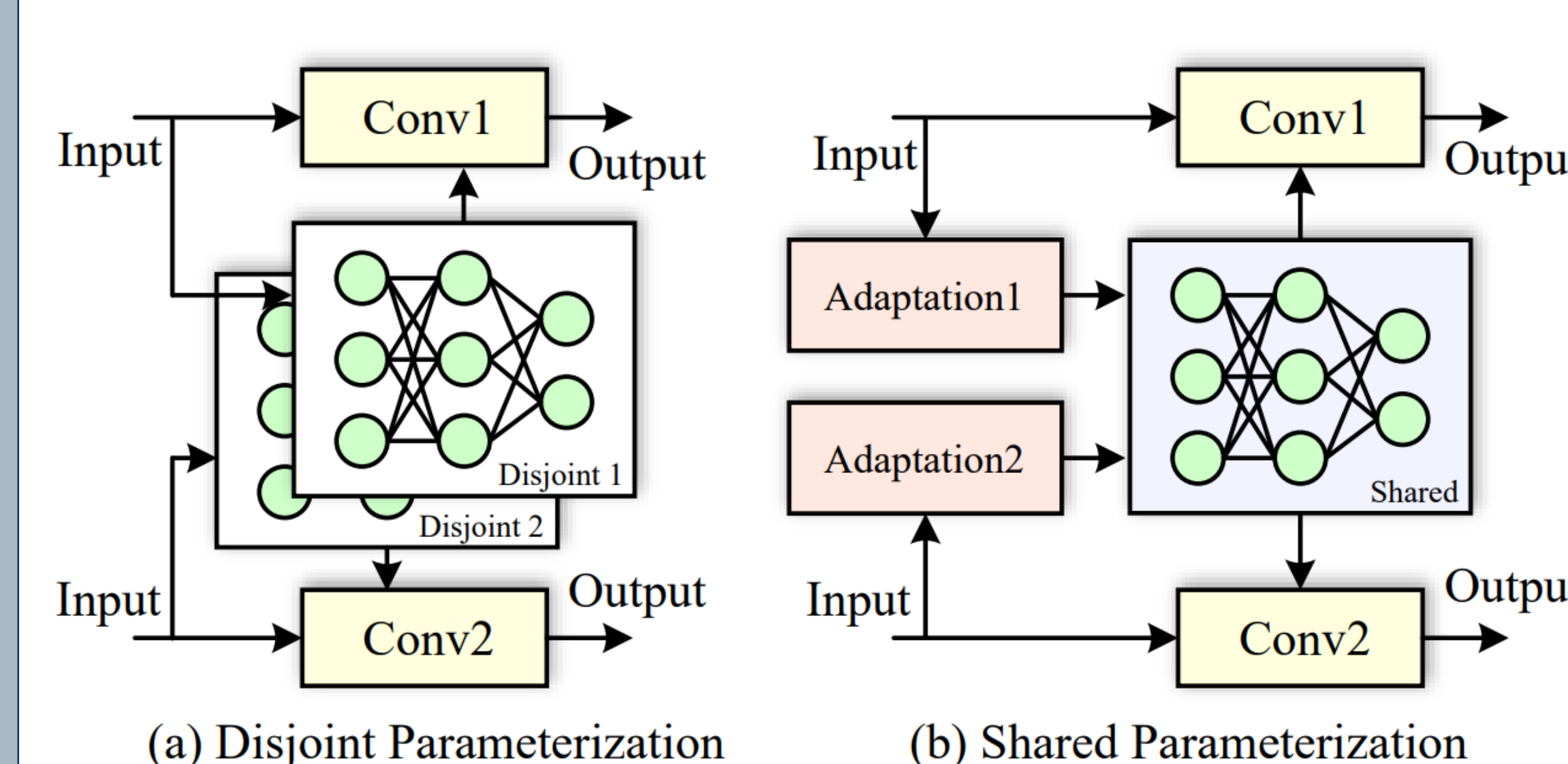
- We learn the matrix  $M$  jointly with the convolution kernel via back-propagation.
- Learning static neural similarity can be viewed as a factorized learning of neurons.
- Recent theories suggest that such factorization tends to give minimum nuclear norm solution.

## Learning Dynamic Neural Similarity



- We use a neural network to predict the neural similarity.
- Such neural similarity is dynamic in the sense that it is dependent on the input and dynamically determines the neural similarity during inference.
- It is equivalent to a **dynamic neural network**.

## Disjoint and Shared Parameterization



## Learning Both Kernel Shape and Similarity

$$f_M(W, X) = W^\top \begin{bmatrix} DR & & \\ & \ddots & \\ & & DR \end{bmatrix} X$$

$$= W^\top \cdot \underbrace{\begin{bmatrix} D & & \\ & \ddots & \\ & & D \end{bmatrix}}_{\text{Kernel Shape}} \cdot \underbrace{\begin{bmatrix} R & & \\ & \ddots & \\ & & R \end{bmatrix}}_{\text{Similarity Measure}} \cdot X$$

where  $D = \text{diag}(d_1, \dots, d_{HV})$  and  $d_i \in \{0, 1\}, \forall i$ .

## Theoretical Insights

- Implicit regularization induced by NSL**: NSL can be viewed as a form of matrix multiplication where the weight matrix  $W$  is factorized as  $M^\top W'$ .
- Such factorization form not only provides more modeling and regularization flexibility, but it also introduces an **implicit regularization** (in gradient descent).
- Comparison of gradient flow:

## Standard derivative

$$\dot{W}_t = \sum_i X_i (y_i - W_t^\top X_i)^\top = \sum_i X_i (r_t^i)^\top$$

## NSL derivative

$$\begin{aligned} \dot{W}_t &= M_t^\top \dot{W}'_t + \dot{M}_t^\top W'_t \\ &= M_t^\top M_t \sum_i X_i (r_t^i)^\top + \sum_i X_i (r_t^i)^\top W'^\top_t W'_t \end{aligned}$$

- Connection to dynamic neural unit (DNU): an isolated DNU is given by a differential equation:
 
$$\dot{x}(t) = -\alpha x(t) + f(w, x(t), u), \quad y(t) = g(x(t))$$
- Different from DNU, dynamic NSN does not have the state feedback and self-recurrence.

## Generic Image Recognition

Method	Error (%)	Error of different parameterization on CIFAR-100
Baseline CNN	7.78	
Dynamic NSN (Shared)	7.20	
Dynamic NSN (Disjoint)	<b>6.85</b>	

- Shared parameterization has better generalizability than disjoint parameterization.

Method	CIFAR-10	CIFAR-100
Baseline CNN	7.78	28.95
Baseline CNN++	7.29	28.70
Static NSN w/ DNS	7.15	28.35
Static NSN w/ UNS	7.38	28.11
Dynamic NSN w/ DNS	6.85	<b>27.81</b>
Dynamic NSN w/ UNS	<b>6.5</b>	28.02

Testing error on CIFAR-10 and CIFAR-100

Method	Top-1	Top-5	# params
Baseline CNN	42.72	19.11	8.90M
Baseline CNN++	42.11	18.98	9.71M
Dynamic NSN w/ DNS	<b>40.61</b>	<b>18.04</b>	9.61M

Testing error on ImageNet-2012

- NSL generally yields **better generalization power**.
- NSL has **better parameter efficiency**.
- NSL **does not affect the inference speed** and has the same inference speed as its CNN counterpart.

## Few-shot Image Recognition

Method	Backbone	5-shot Accuracy
Finetuning Baseline	CNN-4	49.79 $\pm$ 0.79
Nearest Neighbor Baseline	CNN-4	51.04 $\pm$ 0.65
MatchingNet	CNN-4	55.31 $\pm$ 0.73
ProtoNet	CNN-4	68.20 $\pm$ 0.66
MAML	CNN-4	63.15 $\pm$ 0.91
RelationNet	CNN-4	65.32 $\pm$ 0.70
Static NSN (ours)	CNN-4	65.74 $\pm$ 0.68
Meta-learned static NSN (ours)	CNN-4	66.21 $\pm$ 0.69
Dynamic NSN (ours)	CNN-4	<b>71.26 <math>\pm</math> 0.65</b>
Discriminative k-shot	ResNet-34	73.90 $\pm$ 0.30
Tadam	ResNet-12	76.7 $\pm$ 0.3
LEO	ResNet-28	<b>77.59 <math>\pm</math> 0.12</b>
Dynamic NSN (ours)	CNN-9	77.44 $\pm$ 0.63

Few-shot classification on Mini-ImageNet test set

- Meta-learned static NSN is to meta-learn the neural similarity matrix  $M$  during training.
- NSL generally has **better generalization power** on few-shot learning.
- Dynamic NSL performs the best and also outperforms the variant where  $M$  is meta-learned instead of being learned by a neural network.